

10/25/01
JC682 U.S. PTO

01-0702

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Mark J. Smith
Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT
Attorney Docket No.: 1117.001US1

J1046 U.S. PTO
10/03/01
10/25/01

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

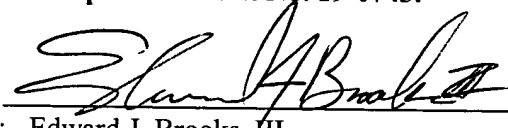
- ☒ Return postcard.
☒ **CONTINUATION** of prior Patent Application No. 00/16,804 (under 37 CFR § 1.53(b)) comprising:
☒ Specification (41 pgs, including claims numbered 1 through 25 and a 1 page Abstract).
☒ Formal Drawing(s) (7 sheets).
☒ **Signed Combined Declaration and Power of Attorney** (3 pgs).
☒ Incorporation by Reference: *The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.*
☒ Check in the amount of \$564.00 to pay the filing fee.
Preliminary Amendment (1 pgs).
Applicant claims small entity status under 37 C.F.R. 1.27.

The filing fee has been calculated below as follows:

| | No. Filed | No. Extra | Rate | Fee |
|---|-----------|-----------|--------|----------|
| TOTAL CLAIMS | 26 - 20 = | 6 | x 9 = | \$54.00 |
| INDEPENDENT CLAIMS | 3 - 3 = | 0 | x 42 = | \$0.00 |
| [X] MULTIPLE DEPENDENT CLAIMS PRESENTED | | | | \$140.00 |
| BASIC FEE | | | | \$370.00 |
| TOTAL | | | | \$564.00 |

Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers. Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Atty: Edward J. Brooks, III
Reg. No. 40,925

Customer Number 21186

"Express Mail" mailing label number: EL873861025US

Date of Deposit: October 25, 2001

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.